

RISK AREA	ACTUAL RISK	CONTROL MEASURE	RESPONSIBILITY	IMPACT	PROBABILITY
GOVERNANCE	1) INADEQUATE GOVERNANCE AND ACTING OUTSIDE OF STATUTORY POWERS	1) COMPLIANCE WITH STANDING ORDERS AND CODE OF CONDUCT	PARISH COUNCIL CHAIRMAN & FINANCE COMMITTEE CHAIRMAN	HIGH	LOW
CONFIDENCE	1) LOSS OF PARISHIONER CONFIDENCE	1i) ANNUAL RETURN / ELECTORS INSPECTION PROVISION 1ii) CODE OF PRACTICE IN HANDLING COMPLAINTS 1iii) LIBEL AND SLANDER INDEMNITY iv) FREEDOM OF INFORMATION	PARISH COUNCIL CHAIRMAN	HIGH	MEDIUM
FINANCE	1) DAMAGE TO THIRD PARTY 2) INADEQUATE FINANCIAL ADMINISTRATION (AND/OR NON COMPLIANCE WITH TAX LEGISLATION) 3) IMPROPER EXPENDITURE 4) INADEQUATE PRECEPT 5) FRAUD OR DISHONESTY 6) DATA PROTECTION 7) HEALTH AND SAFETY	1) PUBLIC LIABILITY INSURANCE 2i) COMPLIANCE WITH FINANCIAL MODEL 2ii) MONTHLY SCRUTINY OF PAYMENTS, RECEIPTS AND BANK RECONCILIATION 2iii) ANNUAL AUDIT AND INTERNAL AUDIT SCRUTINY 2 iv) ANNUAL REVIEW OF EFFECTIVENESS OF INTERNAL AUDIT 3i) APPROPRIATE AUTHORISATION BY COUNCIL AND COMPLIANCE WITH CONTRACTS STANDING ORDER 3ii) BANK MANDATE 4i) SOUND BUDGETARY ARRANGEMENTS 4ii) SCRUTINY OF ACCOUNTS BOTH MONTHLY AND QUARTERLY 5) FIDELITY GUARANTEE INSURANCE 6) COMPLIANCE WITH GDPR	FINANCE CHAIRMAN	HIGH	LOW
SYSTEMS	COMPUTER SYSTEM FAILURE	i) COMPUTER ANTI VIRUS SYSTEM ii) BACK UPS MADE OF ACCOUNTS DATA AND USE OF 'CLOUD' TO KEEP DOCUMENTS OFF SITE iii) EXTERNAL HARD DRIVE TO BACK C DRIVES IN USE	PARISH COUNCIL CHAIRMAN	MEDIUM	MEDIUM
PHYSICAL ASSETS	LOSS OR DAMAGE TO PHYSICAL ASSETS AND/OR BY THIRD PARTY	i) PROPERTY LOSS OR DAMAGE INDEMNITY ii) LOSS OF REVENUE INDEMNITY iii) INSPECTION AND MAINTENANCE iv) COMPLETE FIRE RISK ASSESSMENT TO COMPLY WITH FIRE LEGISLATION v) ANNUAL REVIEW OF RISK ASSESSMENT OF PHYSICAL ASSETS	PROPERTY CHAIRMAN	HIGH	LOW
PERSONNEL	KEY MEMBERS OF STAFF UNAVAILABLE	i) COMPLIANCE WITH EMPLOYMENT LEGISLATION ii) PERSONAL ACCIDENT INSURANCE iii) CONTINGENCY STAFFING	PARISH COUNCIL CHAIRMAN	MEDIUM	MEDIUM
ADMINISTRATION	INADEQUATE GENERAL ADMINISTRATION	i) ELECTRONIC BACKUPS VIA THE 'CLOUD' ii) ESSENTIAL DOCUMENTS STORAGE OFF SITE iii) CLERK TO PURCHASE A3 SCANNER TO SCAN LAND OWNERSHIP DOCUMENTS WHICH ARE KEPT OFF SITE	FINANCE CHAIRMAN	MEDIUM	MEDIUM